Attorney Docket No. 5051-478IP

PATENT

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Royer et al.

Serial No. To be assigned Filed: Concurrently herewith.

For: CONTINUOUS METHOD AND APPARATUS FOR SEPARATING POLYMER FROM A HIGH PRESSURE CARBON DIOXIDE FLUID STREAM

Date: February 25, 2002

BOX PATENT APPLICATION Commissioner for Patents Washington, DC 20231

### INFORMATION DISCLOSURE STATEMENT

Sir:

Attached is a list of documents on form PTO-1449 together with a copy of each identified document. It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. The Commissioner is hereby authorized to charge any additional fee, which may be required, or credit any refund, to our Deposit Account No. 50-0220.

Respectfully submitted,

Robert J. Smith

Registration No. 40,820

#### CERTIFICATE OF EXPRESS MAILING

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Date of Deposit: February 25, 2002

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: BOX PATENT APPLICATION, Commissioner for Patents, Washington, DC 20231.

Meredith Schuessler



## LIST OF DOCUMENTS CITED BY APPLICANT

Patent and Trademark Office

(Use several sheets if necessary)

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Applicants: Royer et al.

Filing Date Concurrently herewith

Group: Not yet known

J	S.	PA'	ΓEΝΤ	DOCU	MENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	1.	5,516,739	05/14/96	Barborak et al.	502	161	
	2.	5,561,216	10/01/96	Barborak et al.	528	392	
	3.	5,569,730	10/29/96	Goodall et al.	526	282	
	4.	5,571,881	11/05/96	Goodali et al.	526	171	
	5.	5,705,503	01/06/98	Goodall et al.	526	281	
	6.	5,725,756	03/10/98	Subramaniam et al.	208	48 R	
	7.	5,741,869	04/21/98	Goodall et al.	526	171	
	8.	5,833,891	11/10/98	Subramaniam et al.	264	7	
	9.	5,866,663	02/02/99	Brookhart et al.	526	170	
	10.	5,874,029	02/23/99	Subramaniam et al.	264	12	
	11.	5,880,241	03/09/99	Brookhart et al.	526	348	
	12.	5,880,323	03/09/99	Brookhart, III et al.	585	527	
	13.	5,886,224	03/23/99	Brookhart et al.	564	272	
	14.	5,891,963	04/06/99	Brookhart et al.	525	326.1	
	15.	5,892,101	04/06/99	Brookhart et al.	560	205	
	16.	5,907,075	05/25/99	Subramaniam et al.	585	721	
	17.	5,912,313	06/15/99	McIntosh, III et al.	526	279	
	18.	5,916,989	06/29/99	Brookhart, III et al.	526	348.6	
	19.	5,929,181	07/27/99	Makovetsky et al.	526	171	
	20.	6,034,259	03/07/00	Brookhart et al.	556	137	
	21.	6,060,569	05/09/00	Bennett et al.	526	172	
	22.	6,103,946	08/15/00	Brookhart, III et al.	585	523	
	23.	6,107,420	08/22/00	Grubbs et al.	526	73	
	24.	6,111,121	08/29/00	Grubbs et al.	556	21	
	25.	6,114,483	09/05/00	Coughlin et al.	526	172	
	26.	6,140,439	10/31/00	Brookhart et al.	526	308	



#### Attorney Docket Number FORM PTO-1449 U.S. Department of Commerce Serial No. 5051-478IP Patent and Trademark Office To be assigned LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary) Applicants: Royer et al. Filing Date Group: Not yet Concurrently herewith known FOREIGN PATENT DOCUMENTS **Document** Translation Number Date Country Class Subclass Yes | No C08F 27. 1,274,942 10/02/90 **CANADA** 120/06 28. WO 98/28351 07/02/98 **WIPO** C08F 14/18 29. 🛵 61/00 WO 00/29096 05/25/00 **WIPO** B01D No 00 30. ₩ WO 00/53639 03/03/00 **PCT** C<sub>08</sub>F 04 31. 0590842 A2 04/06/94 **EPO** C<sub>08</sub>F 2/06 11/29/95 C08F 212/10 32. 0684264 A2 **EPO** C08F 33. WO 96/06118 02/29/96 **WIPO** 16/12 34. WO 96/28477 09/19/96 **WIPO** C08F 2/00 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) 35. Baker et al.; "Toward Greener Chemistry," Science 284:1477-1479 (May 28, 1999). 36. Canelas et al.; "Polymerizations in Liquid and Supercritical Carbon Dioxide," ces in Polymer Science 133:103-140 (1997). Charpentier et al.; "Continuous Polymerizations in Supercritical Carbon Dioxide: Chain-Growth 37. Precipitation Polymerizations," Manomolecules 32:18 5973-5975 (Sept. 7, 1999). 38. DeSimone et al.; "Synthesis of Fluoropolymers in Supercritical Carbon Dioxide," Science 257:945-947 (August 14, 1992). 39. Kendall et al.; "Polymerizations in Supercritical Carbon Dioxide," Chemical Reviews 99:2 543-563 40. McCoy; "DuPont, UNC R&D Effort Yields Results," Chemical and Engineering News p. 10 (1999). McHugh et al.; Supercritical Fluid Extraction: Principals and Practice, 2<sup>nd</sup> Edition, pp. 1-16 (1994). 41. 42. Shaffer et al.; "Chain Polymerizations in Inert Near- and Supercritical Fluids," TRIP 3:5 146-153 (May 1995) McClellan et al.; "Polymer Solution-Supercritical Fluid Phase Behavior," Supercritical Fluid 43. Technology, Elsevier Science Publishers B.V., XP002161135, pp. 161-178, Amsterdam (1985) 44. International Search Report, PCT/US00/30765, Date of Mailing: March 14, 2001